



RESPONSIBLE
JEWELLERY
COUNCIL

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BY THE AUTHORITY OF THE COUNCIL

Agosi AG

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3460
DURATION: 3 Year
PERIOD: 29 January 2021 - 29 January 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CODE
OF
PRACTICES



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Precious Metals Trader, Refiner and/or Hedger
AUDIT DATE	08 February 2021
AUDIT TYPE	Re-certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	KPMG Germany
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains, human rights and due diligence: 5-14, except 7.1b, 8, 9, 11.3, 13.3-4, 14.33. Labour rights and working conditions: 15-22, except 21.34. Health, Safety and Environment: 23-27, except 23.10, 27.45. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2c-j, 28.3, 29, 306. Responsible mining: Not Applicable

PROVENANCE
CLAIMS

Österreichische Gold und Silberscheideanstalt GmbH:
The precious metals (gold, platinum, palladium, rhodium) in Ögussa's products predominantly originate from own recycling of end-of-use and post-consumer material collected in Europe. The Member is a RJC Chain-of-Custody (CoC) Certified entity.
As a recycling and trading company, Umicore Precious Metals (Thailand) ensures that we only acquire gold, silver and PGMs in accordance with RJC Chain of Custody certification requirements. Gold, Silver and PGMs in our products predominantly originate from our own recycling of end-of-use material. The Member is an RJC Chain of Custody (CoC) certified entity.

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- ☐ **A. Mid-term review NOT required due to:**
- ☐ No anticipated changes to the certification scope during the certification period
 - ☐ <25 full time equivalent personnel
 - ☐ Low risk of non-conformances due to management controls
 - ☐ Existence of parallel audits for schemes recognised by RJC
 - ☐ Existence of rigorous internal audit programs
 - ☐ Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

- ☐ **B. Mid-term review (desktop only) required due to:**
- ☐ No impact on conformance levels from any changes to the certification scope
 - ☐ 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ Possibility of remote verification of actions
 - ☐ No risk to critical provisions

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- ☒ **C. Mid-term review (with a site visit) required due to:**
 - ☐ Known and anticipated changes to the certification scope during the certification period
 - ☐ Member no longer supporting external standards and initiatives recognised by RJC
 - ☐ 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - ☒ 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - ☐ New systems and controls for critical provisions that could not be tested at the certification audit
 - ☐ Facilities with risk of non-conformances with critical provisions
 - ☐ Other reasons presented by the lead auditor necessitating an onsite verification
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CERTIFICATION SCOPE

<u>Business name of entity/facility</u>	<u>Location (City and Country)</u>	<u>Business Activity</u>
Agosi AG	Pforzheim, Germany	Refinery, laboratory, production and administration
ÖGUSSA Österreichische Gold- und Silberscheideanstalt GmbH	Vienna, Austria	Sales office, laboratory, production and refinery
Umicore Precious Metals (Thailand) Ltd.	Bangkok, Thailand	Sales office, laboratory, production and refinery
Umicore Galvanotechnik GmbH	Schwäbisch Gmünd, Germany	Sales office, production and laboratory
Umicore Precious Metals (Canada) Inc.	Markham, Canada	Sales office, production, laboratory and refinery

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

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RESPONSIBLE
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BY THE AUTHORITY OF THE COUNCIL

ARGOR-HERAEUS SA

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 2000
DURATION: 3 Year
PERIOD: 21 June 2020 - 21 June 2023
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CODE
OF
PRACTICES



CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Gold, Silver and/or Platinum Group Metals Trader and/or Refiner and Hedger
AUDIT DATE	2-7 July 2020
AUDIT TYPE	Re-Certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	Bureau Veritas Italia
CERTIFICATION SCOPE	Argor-Heraeus SA, Mendrisio, Switzerland - Refining facility; Argor-Heraeus Italia SPA, Cavenango Brianza, Italy - Collection and Trading Office; Argor-Heraeus Deutschland GmbH, Pforzheim, Germany - Collection and Trading Office.
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains and human rights: 5-14, except 7.1b, 11.3, 13.3-4, 143. Labour rights and working conditions: 15-22, except 19.3, 21.34. Health, safety and environment: 23-27, except 23.10, 27.45. Diamonds, gold and platinum group metal products: 28-30, except 28.2b-j, 28.3, 296. Responsible mining sector: Not applicable

PROVENANCE
CLAIMS

Not Applicable.

AUDITOR STATEMENT
OF CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- ☒ **A. Mid-term review NOT required due to:**
 - ☒ No anticipated changes to the certification scope during the certification period
 - ☐ <25 full time equivalent personnel
 - ☒ Low risk of non-conformances due to management controls
 - ☐ Existence of parallel audits for schemes recognised by RJC
 - ☒ Existence of rigorous internal audit programs
 - ☒ Strong internal controls ensuring effective review and closure of corrective actions for non-conformances.
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- ☐ **B. Mid-term review (desktop only) required due to:**
 - ☐ No impact on conformance levels from any changes to the certification scope
 - ☐ 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ Possibility of remote verification of actions.
 - ☐ No risk to critical provisions.

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- ☐ C. Mid-term review (with a site visit) required due to:
 - ☐ Known and anticipated changes to the certification scope during the certification period
 - ☐ Member no longer supporting external standards and initiatives recognised by RJC
 - ☐ 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member of individual mining facility, if the member is in the mining sector
 - ☐ New systems and controls for critical provisions that could not be tested at the certification audit
 - ☐ Facilities with risk of non-conformances with critical provisions
 - ☐ Other reasons presented by the lead auditor necessitating an onsite verification.

ABOUT THIS CERTIFICATION

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RESPONSIBLE
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BY THE AUTHORITY OF THE COUNCIL

Heraeus Limited

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 3744
DURATION: 3 Year
PERIOD: 25 November 2021 - 25 November 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CODE
OF
PRACTICES



RESPONSIBLE
JEWELLERY
COUNCIL

CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Precious Metals Trader, Refiner and/or Hedger
AUDIT DATE	30 August 2021
AUDIT TYPE	Re-certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	Bureau Veritas Italia
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains, human rights and due diligence: 5-14, except 7.1b, 8, 9, 11.3, 13.3-4, 14.2-33. Labour rights and working conditions: 15-22, except 19.34. Health, Safety and Environment: 23-27, except 23.10, 27.45. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2c-j, 28.3, 29. 306. Responsible mining: Not applicable

PROVENANCE
CLAIMS

Not Applicable.

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- ☒ **A. Mid-term review NOT required due to:**
- ☒ No anticipated changes to the certification scope during the certification period
 - ☐ 3 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ <25 full time equivalent personnel
 - ☒ Low risk of non-conformances due to management controls
 - ☐ Existence of parallel audits for schemes recognised by RJC
 - ☒ Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

- ☐ **B. Mid-term review (desktop only) required due to:**
- ☐ No impact on conformance levels from any changes to the certification scope
 - ☐ 5 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ Possibility of remote verification of actions
 - ☐ No risk to critical provisions

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- ☐ **C. Mid-term review (with a site visit) required due to:**
 - ☐ Known and anticipated changes to the certification scope during the certification period
 - ☐ Member no longer supporting external standards and initiatives recognised by RJC
 - ☐ 6 or more minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ New systems and controls for critical provisions that could not be tested at the certification audit
 - ☐ Need to test new systems and controls ensuring respect for critical provisions
 - ☐ Facilities with risk of non-conformances with critical provisions
 - ☐ Other reasons presented by the lead auditor necessitating an onsite verification

CERTIFICATION SCOPE

Business name of entity/facility

Heraeus Limited
Heraeus Metals Hong Kong Limited

Location (City and Country)

Fanling, Hong Kong
Kowloon, Hong Kong

Business Activity

Refinery of metals
Trading of metals

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BY THE AUTHORITY OF THE COUNCIL

Metalor Technologies SA

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 4065
DURATION: 3 Year
PERIOD: 10 April 2022 - 10 April 2025
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

AYGUN KAZIMOVA
SENIOR CERTIFICATION MANAGER



CODE
OF
PRACTICES



RESPONSIBLE
JEWELLERY
COUNCIL

CERTIFICATION OVERVIEW

MEMBERSHIP FORUM	Precious Metals Trader, Refiner and/or Hedger
AUDIT DATE	12 January 2022
AUDIT TYPE	Re-certification
APPLICABLE STANDARD	Code of Practices 2019
ACCREDITED AUDIT FIRM	Mazars
APPLICABLE PROVISIONS	<ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains, human rights and due diligence: 5-14, except 7.1b, 9, 11.3, 13.3-4, 14.2-33. Labour rights and working conditions: 15-22, except 19.3, 21.34. Health, Safety and Environment: 23-27, except 23.10, 27.45. Gold, Silver, PGM, diamond and coloured gemstone products: 28-30, except 28.2b-j, 28.3, 29. 306. Responsible mining: Not applicable

PROVENANCE
CLAIMS

Not applicable

AUDITOR STATEMENT OF
CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- ☐ **A. Mid-term review NOT required due to:**
- ☐ No anticipated changes to the certification scope during the certification period
 - ☐ 3 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ <25 full time equivalent personnel
 - ☐ Low risk of non-conformances due to management controls
 - ☐ Existence of parallel audits for schemes recognised by RJC
 - ☐ Strong internal controls ensuring effective review and closure of corrective actions for non-conformances

- ☒ **B. Mid-term review (desktop only) required due to:**
- ☐ No impact on conformance levels from any changes to the certification scope
 - ☒ 5 or less minor non-conformances with critical provisions or in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - ☐ Possibility of remote verification of actions
 - ☐ No risk to critical provisions

-
- ☐ **C. Mid-term review (with a site visit) required due to:**
 - ☐ Known and anticipated changes to the certification scope during the certification period
 - ☐ Member no longer supporting external standards and initiatives recognised by RJC
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 - ☐ Facilities with risk of non-conformances with critical provisions
 - ☐ Other reasons presented by the lead auditor necessitating an onsite verification
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CERTIFICATION SCOPE

<u>Business name of entity/facility</u>	<u>Location (City and Country)</u>	<u>Business Activity</u>
Metalor Technologies SA	Marin-Epagnier Neuchâtel, Switzerland	Office, Advance coating and Refining
Metalor Precious Metals (Suzhou) Ltd	Jiang Su Province, China	Office and refining
Metalor Technologies (Suzhou) Ltd	Jiang Su Province, China	Office and advance coating
Metalor Technologies Singapore PTE LTD	Surface Engineering Hub, Singapore	HQ, refining and advance coating
Metalor Technologies (Hong Kong) Limited - Office	Kowloon, Hong Kong	Office
Metalor Technologies (Hong Kong) Limited - Factory	New Territories, Hong Kong	Advance coating and refining of recycled gold
Metalor U.S.A. Refining Corporation	Massachussetts, USA	Office, Advance coating and refining of recycled & mined gold
Metalor Technologies (UK) Ltd	Birmingham, UK	Office, trade and advance coating
Metalor Technologies SA	Lyss, Switzerland	Smelting and refining

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